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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10681888
Filing Date	2003-10-09
First Named Inventor	Stephan W. Wegerich
Art Unit	2857
Examiner Name	Carol W. Tsai
Attorney Docket Number	7060-83813

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	4060716		1977-11-29	Pekrul, et al.	
	2	4336595		1982-06-22	Adams, et al.	
	3	4402054		1983-08-30	Osborne, et al.	
	4	4480480		1984-11-06	Scott, et al.	
	5	RE31750		1984-11-27	Morrow	
	6	4639882		1987-01-27	Keats	
	7	4707796		1987-11-17	Calabro, et al.	
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /CT/

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	Attorney Docket Number	7060-83813

	9	4796205		1989-01-03	Ishii, et al.	
	10	4823290		1989-04-18	Fasack, et al.	
	11	4937763		1990-06-26	Mott	
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	20	5119287		1992-06-02	Nakamura, et al.	
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	31	5386373		1995-01-31	Keeler, et al.	
	32	5414632		1995-05-09	Mochizuki, et al.	
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	34	5421204		1995-06-06	Svaty, Jr.	
	35	5445347		1995-08-29	Ng	
	36	5446671		1995-08-29	Weaver, et al.	
	37	5446672		1995-08-29	Boldys	
	38	5455777		1995-10-03	Fujiyama, et al.	
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	41	5486997		1996-01-23	Reismiller, et al.	

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	43	5500940		1996-03-19	Skeie	
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U.S.PATENT APPLICATION PUBLICATIONS

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Examiner Initial*	Cite No	Foreign Document Number[3]	Country Code[2] ¡	Kind Code[4]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T[5]
	1	WO0067412	PC		2000-11-09	Dryken Technologies		☐

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T[5]
	1	"MSET Modeling of Crystal River-3 Venturi Flow Meters" by J.P. Herzog, S.W. Wegerich, K.C. Gross and F.K. Bockhorst, 6th International Conference on Nuclear Engineering, ICONE-6169, May 10-14, 1998, Copyright 1998 ASME (12 pp.).	☐
	2	"Application of a New Technique for Modeling System Behavior" by Paul J. O'Sullivan, presented at the ISA Symposium, Edmonton, Alberta, May 1, 1991, Copyright 1991 Instrument Society of America (21pp.).	☐
	3	"A Universal, Fault-Tolerant, Non-Linear Analytic Network for Modeling and Fault Detection," by J.E. Mott, R.W. King, L.R. Monson, D.L. Olson, and J.D. Staffon, Proceedings of the 8th Power Plant Dynamics, Control & TEsting Symposium, KNoxville, TN, May 27-29, 1992 (14pp.)	☐
	4	ModelWare Product Review by Robert D. Flori, reprinted from Computerized Investing, September/October 1992, Volume XI, No. 5, Copyright by The American Association of Individual Investors (pp. 8-10).	☐
	5	ModelWare A New Approach to Prediction by Ken Tucker, reprinted form PC AI Magazine, January/February 1993, pp. 14, 15, 30.	☐

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	6	"Similarity Based Regression: Applied Advanced Pattern Recognition for Power Plant Analysis," by E.J. hansen and M.B. Caudill, presented at the 1994 EPRI Heat Rate Improvement Conference (9pp.)	☐
	7	"Applied Pattern Recognition for Plant Monitoring and Data Validation," by Ron Griebenow, E.J. Hansen, and A.L. Sudduth, presented at the Fifth International Joint ISA POWID/EPRI Controls and Instrumentation Conference, La Jolla, CA, June 19-21, 1995 (11pp.)	☐
	8	"A Fault-Tolerant Sensory Diagnostic System for Intelligent Vehicle Application" by Ralph M. Singer, Kenny C. Gross, and Stephan Wegerich, presented at the IEEE International Symposium on Intelligent Vehicles, Detroit, MI, September 25-26, 1995 (7pp.)	☐
	9	"Model-Based Nuclear Power Plant Monitoring and Fault Detection: Theoretical Foundations," by Ralph M. Singer, Kenny C. Gross, James P. Herzog, Ronald W. King, and Stephan Wegerich, presented at the International Conference on Intelligent System Application to Power Systems (ISAP '97), July 6-10, 1997, Seoul, Korea (pp. 60-65).	☐
	10	"Application of a Model-Based Fault Detection System to Nuclear Plant Signals," by K.C. Gross, R.M. Singer, S.W. Wegerich, J.P. Herzog, R. VanAlstine, and F. Bockhorst, presented at the International Conference on Intelligent System Application to Power Systems (ISAP '97), July 6-10, 1997, Seoul, Korea (pp. 66-70)	☐

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EXAMINER SIGNATURE

Examiner Signature	/Carol Tsai/	Date Considered	08/28/2008

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

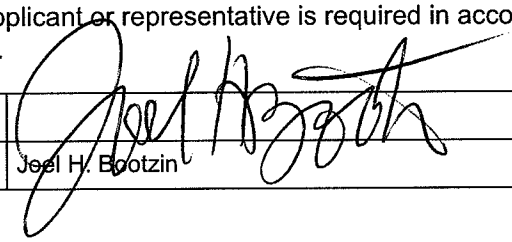
☐ See attached certification statement.

☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2007-06-15
Name/Print	Joel H. Bootzin	Registration Number	42,343

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code[1]	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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	2	5579232		1996-11-26	Tong, et al.	
	3	5586066		1996-12-17	White, et al.	
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	9	5617342		1997-04-01	Elazouni	
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	20	5708780		1998-01-13	Levergood, et al.	
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	25	5748496		1998-05-05	Takahashi, et al.	
	26	5751580		1998-05-12	Chi	
	27	5754451		1998-05-19	Williams	
	28	5754965		1998-05-19	Hagenbuch, LeRoy G.	
	29	5761090		1998-06-02	Gross, et al.	
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	42	5913911		1999-06-22	Beck, et al.	
	43	5930156		1999-07-27	Kennedy	
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U.S.PATENT APPLICATION PUBLICATIONS

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☐ None

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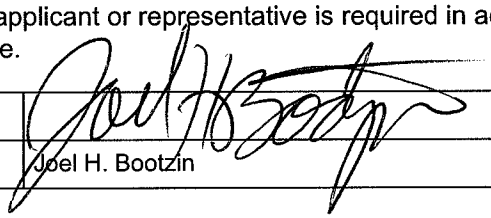
Signature		Date (YYYY-MM-DD)	2007-06-15
Name/Print	Joel H. Bootzin	Registration Number	42,343

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	2	5961560		1999-10-05	Kemner	
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /CT/

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)		
	Application Number	10681888
	Filing Date	2003-10-09
	First Named Inventor	Stephan W. Wegerich
	Art Unit	2857
	Examiner Name	Carol W. Tsai
	Attorney Docket Number	7060/83813

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U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code[1]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear

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FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number[3]	Country Code[2]	Kind Code[4]	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T[5]
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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T[5]
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EXAMINER SIGNATURE

Examiner Signature	/Carol Tsai/	Date Considered	08/28/2008

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. [2] Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). [3] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [4] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [5] Applicant is to place a check mark here if English language translation is attached.

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Art Unit	2857
Examiner Name	Carol W. Tsai
Attorney Docket Number	7060/83813

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

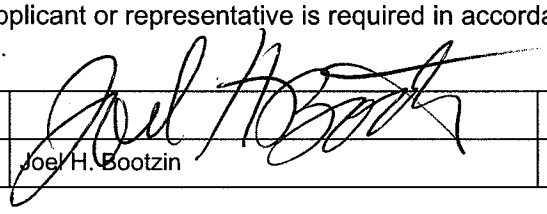
☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2007-06-15
Name/Print	Joel H. Bootzin	Registration Number	42,343

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